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DATE: April 8, 2008

FILE #21302/0205263-US0:

| FACSIMILE NO. | RECIPIENT AND COMPANY                       | CONFIRMATION WILL FOLLOW |
|---------------|---|--------------------------|
| 571-273-0459  | PCT Legal Dept<br>Patent & Trademark Office | No                       |

FROM: Julian A. Williams E-MAIL: jwilliams@darbylaw.com

PHONE: 917.286.2940

NO. OF PAGES: 13  
(including cover page)

COMMENTS:

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Docket No.: 21302/0205263-US0

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joongseo Park

Serial No.: 10/597,607

Filed: August 3, 2006

For: III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

incorrectly entered and/or  
 omitted.

**Error in**

- Applicant's name
- Applicant's address
- Title
- Filing Date
- Application Number
- Domestic Priority Data:
- Other

**Correct data****08/03/2006****This Application is a 371 of PCT/KR05/00360 02/05/2005****Remarks:**

Enclosed please find a copy of the Executed Declaration That shows the correct Domestic priority data. Also enclosed is the Electronic Acknowledgement that shows the correct filing date of the Declaration which should be 8/3/2006.

The Commissioner is respectfully requested to issue a new and correct Filing Receipt.

Respectfully submitted,



Flynn Barrison  
Reg. No. 53,970  
Agent for Applicant(s)

DARBY & DARBY P.C.  
P.O.Box 770  
Church Street Station  
New York, NY 10008-0770



## UNITED STATES PATENT AND TRADEMARK OFFICE

21302/0205263-US0

UNITED STATES DEPARTMENT OF COMMERCE  
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 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING or 371(e) DATE | GRP ART UNIT | FIL FEE RECD | ATTY.DOCKET.NO    | TOT CLAIMS | IND CLAIMS |
|--------------------|-----------------------|--------------|--------------|-------------------|------------|------------|
| 10/597,607         | 08/01/2006            |              | 900          | 20506/0205263-US0 | 4          | 1          |

CONFIRMATION NO. 3725

## FILING RECEIPT



OC0000000028991283

Date Mailed: 03/26/2008

7278  
 DARBY & DARBY P.C.  
 P.O. BOX 770  
 Church Street Station  
 New York, NY 10008-0770

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections.

## Applicant(s)

Joongseo Park, Kyunggi-do, KOREA, REPUBLIC OF;

## Assignment For Published Patent Application

EP VALLEY CO., LTD, Gyeonggi-Do, KOREA, REPUBLIC OF

Power of Attorney: The patent practitioners associated with Customer Number 07278

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/KR05/00360 03/05/2005

## Foreign Applications

REPUBLIC OF KOREA 10-2004-0007541 02/05/2004

## If Required, Foreign Filing License Granted: 03/20/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/597,607**

Projected Publication Date: 06/26/2008

Non-Publication Request: No

Early Publication Request: No

page 1 of 3  
 1M1478

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**Title**

III-Nitride Compound Semiconductor Light Emitting Device

**Preliminary Class****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An International (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/Index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-998-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER**

**Title 35, United States Code, Section 184**

**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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21308/02052632

|   |  |                                       |
|---|--|---------------------------------------|
| U.S. APPLICATION NUMBER NO.<br>10/597,607       | FIRST NAMED APPLICANT<br>Joongseo Park | ATTY. DOCKET NO.<br>20506/0205263-US0 |
| INTERNATIONAL APPLICATION NO.<br>PCT/KR05/00360 |  |                                       |
| I.A. FILING DATE<br>03/05/2005                  |  | PRIORITY DATE<br>02/05/2004           |
| CONFIRMATION NO. 3725<br>371 ACCEPTANCE LETTER  |  |                                       |



OC000000028991284

Date Mailed: 03/25/2008

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

08/01/2006      03/05      08/05/2006  
 DATE OF RECEIPT OF 35 U.S.C. 371(c)(1),  
 (c)(2) and (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL  
 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the International application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items may be received:

- Copy of the International Application filed on 08/01/2006
- Copy of the International Search Report filed on 08/01/2006
- Information Disclosure Statements filed on 08/01/2006
- Oath or Declaration filed on 08/01/2006
- U.S. Basic National Fees filed on 08/01/2006
- Priority Documents filed on 08/01/2006
- Specification filed on 08/01/2006
- Claims filed on 08/01/2006
- Abstracts filed on 08/01/2006
- Drawings filed on 08/01/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

NADINE V CLARK

Telephone: (703) 308-9140 EXT 219

page 2 of 2

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/110 (05-95)  
Approved for use through 10/31/02. OMB 0851-0052  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## Declaration and Power of Attorney for Patent Application

### 특허 출원 관련 선언 및 위임권

#### Korean Language Declaration

아래 지명된 발명자로서, 본인은 하기 사항을 선언합니다.

본인의 거주지, 우송 주소 및 국적은 본인의 성명 아래에 기재된 것과 동일합니다.

본인은 하기 명세한 발명에 대한 특허를 청구하는 주체의 최초 연역 단독  
발명자이거나 (여러명 한 친족간의 가족한 경우) 또는 최초 연역 공동  
발명자임을 (여러명 여부 여부의 가족한 경우) 확인합니다.

#### III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE

다음 날의 체크되어 있지 않으면 본 발명의 명세서가 여기에  
첨부됩니다.

미합중국 출원번호 또는 PCT 국제 출원번호는  
PCT/KR2005/000360 로  
5 February 2005 일에 출원되었고  
일에 개정되었음  
(해당 경우).

본인은 상기 개정에 의해 수정된 상기 명세서는 물론 특허 청구의 내용  
정확성으로 미흡함을 확인합니다.

본인은 연방 규정 고도안 제37 장 제 31.56 항에 의거하여 특허 출원  
권한 최초 정보를 국가법의 허용을 인정합니다.

As a below named Inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint Inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE

the specification of which is attached hereto unless the following box is checked:

was filed on 5 February 2005  
as United States Application Number or PCT  
International Application Number  
PCT/KR2005/000360 and was amended on  
(If applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

PTO/SB/110 (05-95)

Approved for use through 10/31/02. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Korean Language Declaration

본인은 미국인 주민 출원(들)이나 발명자와 출원서 관련 경우에는 여하한국  
그도인 제35장의 제17.7(a)-(d) 항이나 제365(b) 항에 의거하여 또는  
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주장하며, 외국인 특허 출원, 발명자 출원서 또는 우선권이 주장되는  
출원의 이전에 제출된 PCT 국제 출원도 또한 아래에 여하한국을  
체크함으로써 확인되었음을 증명합니다.

I hereby claim foreign priority under Title 35, United States  
Code Section 119(a)-(d) or Section 365(b) of any foreign  
application(s) for patent or inventor's certificate, or 365 (a) of  
any PCT International application which designated at least  
one country other than the United States listed below and  
have also identified below, by checking the box, any foreign  
application for patent or inventor's certificate, or PCT  
International application having a filing date before that of  
the application for which priority is claimed.

Priority Not Claimed

우선권 주장 않음 

|  |  |  |
|--|--|--|
| 10-2004-0007541<br>(Number)<br>(번호)  | REPUBLIC OF KOREA<br>(Country)<br>(국가) | 5 February 2004<br>(Day/Month/Year Filed)<br>(출원일자 월/일/년)  |
|  |  | <input type="checkbox"/>   |
| (Number)<br>(번호)   | (Country)<br>(국가)                      | (Day/Month/Year Filed)<br>(출원일자 월/일/년)   |
|  |  | <input type="checkbox"/>   |
| (Application No.)<br>(출원 번호)   | (Filing Date)<br>(출원일자)                | I hereby claim the benefit under Title 35, United States<br>Code, Section 119(e) of any United States provisional<br>application(s) listed below.  |
| (Application No.)<br>(출원 번호)   | (Filing Date)<br>(출원일자)                | I hereby claim the benefit under Title 35, United States<br>Code, Section 120 of any United States application(s), or<br>Section 365(c) of any PCT International application<br>designating the United States, listed below and, insofar as<br>the subject matter of each of the claims of this application is<br>not disclosed in the prior United States or PCT International<br>application in the manner provided by the first paragraph of<br>Title 35, United States Code Section 112, I acknowledge the<br>duty to disclose information which is material to patentability<br>as defined in Title 37, Code of Federal Regulations, Section<br>1.56 which became available between the filing date of the<br>prior application and the national or PCT International filing<br>date of this application. |
| (Application No.)<br>(출원 번호)   | (Filing Date)<br>(출원일자)                | (Status) (patented, pending, abandoned)<br>(현황) (특허 획득, 출원중, 초기)   |
| (Application No.)<br>(출원 번호)   | (Filing Date)<br>(출원일자)                | (Status) (patented, pending, abandoned)<br>(현황) (특허 획득, 출원중, 초기)   |
| 본인이 여기서 여기에 제기된 모든 내용의 사실적이고, 솔직한<br>정보나 소신의 모두 사실임을 확인하며, 여기에 여하한국 그도<br>제35장의 제1001항에 명시된 바와 같이 그의의 어떤 진술 및 이와<br>유사한 행위는 법금이나 징역으로 처벌 받거나 법금과 징역형을 모두 받을<br>수 있고, 어떠한 고의의 경우 진술은 특히 출원이나 후에 발급된 특허의<br>유통성을 위협하기 힘을 얻지마면서 여기에 진술함을 선언합니다. |  | I hereby declare that all statements made herein of my own<br>knowledge are true and that all statements made on<br>information and belief are believed to be true; and further<br>that these statements were made with the knowledge that<br>willful false statements and the like so made are punishable<br>by fine or imprisonment, or both, under Section 1001 of Title<br>18 of the United States Code and that such willful false<br>statements may jeopardize the validity of the application or<br>any patent issued thereon.  |

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는 대여인(등록)을 염두한바니 (설명 및 등록번호 기재)

## Korean Language Declaration

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number).

Practitioners associated with Customer Number  
07278

사신 수신자  
Address associated with Customer Number  
07278  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
직접 전화 수신자 (설명 및 전화번호)  
Peter C. Schechter, (212)527-7723

Send Correspondence to:  
Address associated with Customer Number  
07278  
DARBY & DARBY P.C.  
P.O. Box 5257  
New York, New York 10150-5257  
Direct Telephone Calls to: (name and telephone number)  
Peter C. Schechter, (212)527-7723

만든 또는 첫번째 발명자의 성명  
Joongseo PARK

발명자의 서명

Joongseo PARK

Full name of sole or first inventor  
Joongseo PARK

Inventor's signature

Date 2006-7-25

Joongseo PARK

주소  
Kyunggi-do, Republic of Korea

Residence  
Kyunggi-do, Republic of Korea

국적  
Republic of Korea

Citizenship  
Republic of Korea

우편 주소

606-103, Samsung Raemian 6-cha Apt., 1167,  
Bojeong-ri, Kuseong-eup, Yougin-si,  
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606-103, Samsung Raemian 6-cha Apt., 1167,  
Bojeong-ri, Kuseong-eup, Yougin-si,  
Kyunggi-do 449-564  
Republic of Korea

Joint Inventor 두 번째 공동 발명자의 이름

Full name of second joint inventor, if any

두 번째 공동 발명자의 서명

Second Inventor's signature Date

거주지

Residence

국적

Citizenship

우편 주소

Post Office Address

(세 번째 그리고 그 이후의 공동 발명자 등에 대한 유사한 정보와  
그들의 서명을 제공할 것.)

(Supply similar information and signature for third and subsequent joint inventors.)

**Electronic Acknowledgement Receipt**

|                                   |  |
|-----------------------------------|--|
| EFS ID:                           | 1140195  |
| Application Number:               | 10597607   |
| Confirmation Number:              | 3725   |
| Title of Invention:               | III-NITRIDE COMPOUND SEMICONDUCTOR LIGHT EMITTING DEVICE |
| First Named Inventor:             | Joongseo Park  |
| Customer Number:                  | 7278   |
| Filer:                            | Jay Philip Lessler/Lillian Garcia                        |
| Filer Authorized By:              | Jay Philip Lessler                                       |
| Attorney Docket Number:           | 20506/0205263-US0  |
| Receipt Date:                     | 03-AUG-2006  |
| Filing Date:                      |  |
| Time Stamp:                       | 17:09:24   |
| Application Type:                 | U.S. National Stage under 35 USC 371                     |
| International Application Number: |  |

**Payment Information:**

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